

		<p>exhibit m# <u>15</u> Exhibit exhibit n# <u>16</u> Exhibit exhibit o# <u>17</u> Exhibit exhibit p# <u>18</u> Exhibit exhibit q# <u>19</u> Exhibit exhibit r# <u>20</u> Exhibit exhibit s# <u>21</u> Exhibit exhibit t# <u>22</u> Exhibit exhibit u# <u>23</u> Exhibit exhibit v# <u>24</u> Exhibit exhibit w# <u>25</u> Exhibit exhibit x# <u>26</u> Exhibit exhibit y# <u>27</u> Exhibit exhibit z# <u>28</u> Exhibit exhibit aa# <u>29</u> Exhibit exhibit bb# <u>30</u> Exhibit exhibit cc# <u>31</u> Exhibit exhibit dd# <u>32</u> Exhibit exhibit ee# <u>33</u> Exhibit exhibit ff# <u>34</u> Exhibit exhibit gg# <u>35</u> Exhibit exhibit hh# <u>36</u> Exhibit exhibit ii# <u>37</u> Exhibit exhibit jj# <u>38</u> Exhibit exhibit kk# <u>39</u> Exhibit exhibit ll# <u>40</u> Exhibit exhibit mm# <u>41</u> Exhibit exhibit nn# <u>42</u> Exhibit exhibit oo# <u>43</u> Exhibit exhibit pp# <u>44</u> Exhibit exhibit qq# <u>45</u> Exhibit exhibit rr# <u>46</u> Exhibit exhibit ss# <u>47</u> Exhibit exhibit tt# <u>48</u> Exhibit exhibit uu# <u>49</u> Exhibit exhibit vv# <u>50</u> Exhibit exhibit ww# <u>51</u> Exhibit exhibit xx# <u>52</u> Exhibit exhibit yy# <u>53</u> Exhibit exhibit zz# <u>54</u> Exhibit exhibit aaa# <u>55</u> Exhibit exhibit bbb# <u>56</u> Exhibit exhibit ccc# <u>57</u> Exhibit exhibit ddd# <u>58</u> Exhibit exhibit eee# <u>59</u> Exhibit exhibit fff# <u>60</u> Exhibit exhibit ggg# <u>61</u> Exhibit exhibit hhh# <u>62</u> Exhibit exhibit iii# <u>63</u> Exhibit exhibit jjj# <u>64</u> Exhibit exhibit kkk# <u>65</u> Exhibit exhibit lll# <u>66</u> Exhibit exhibit mmm# <u>67</u> Exhibit exhibit nnn# <u>68</u> Exhibit exhibit ooo# <u>69</u> Exhibit exhibit 2# <u>70</u> Exhibit exhibit 3# <u>71</u> Proposed Order (PDF Only) proposed order)(Horowitz, Jay) (Entered: 05/10/2007)</p>
05/14/2007	<u>151</u>	<p>MINUTE ORDER re: <u>150</u> MOTION for Leave to File Amended <u>100</u> Answer to Second Amended Complaint, amended counterclaims and jury demand filed by Defendant Leonard Cohen. Plaintiffs and Counterclaim Defendants to file response by 6/4/07, Defendant to file reply by 6/14/07, by Judge Lewis T. Babcock on 5/14/07. (mrs, ) (Entered: 05/14/2007)</p>
05/16/2007	<u>152</u>	<p>MOTION to Stay re <u>148</u> MOTION for Summary Judgment <i>As to Cohen's First Counterclaim -- stay briefing schedule until briefing upon cohen's motion for leave to amend has been completed and that motion decided</i> by Defendant Leonard Cohen. (Attachments: # <u>1</u> Proposed Document proposed order)(Horowitz, Jay) (Entered: 05/16/2007)</p>
05/17/2007	<u>153</u>	<p>RESPONSE to Motion re <u>152</u> MOTION to Stay re <u>148</u> MOTION for Summary Judgment <i>As to Cohen's First Counterclaim -- stay briefing schedule until briefing upon cohen's motion for leave to amend has been completed and that motion decided</i> MOTION to Stay re <u>148</u> MOTION for Summary Judgment <i>As to Cohen's First Counterclaim -- stay briefing schedule until briefing upon cohen's motion for leave to amend has been completed and that motion decided</i> filed by Plaintiffs Greenberg &amp; Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Greenberg &amp; Associates, Inc., Tactical Allocation Services, LLC, Agile Group, LLC. (Scheid, R.) (Entered: 05/17/2007)</p>
05/17/2007	<u>154</u>	<p>MINUTE ORDER re: Defendant and Plaintiff-on-Counterclaim Leonard Cohen's <u>152</u> Request (MOTION) to Stay the briefing upon Defendants-on-Counterclaims <u>148</u> MOTION for Summary Judgment. All other parties to respond to this Motion on or before 5/27/2007, by Judge Lewis T. Babcock on 5/17/07. (mrs, ) (Entered: 05/17/2007)</p>
05/18/2007	<u>155</u>	<p>ORDER granting Defendant and Plaintiff-on-Counterclaim Leonard Cohen's <u>152</u> Request (Motion) to Stay the Briefing Schedule. Signed by Judge Lewis T. Babcock on 5/18/07.(mrs, ) (Entered: 05/18/2007)</p>
05/22/2007	<u>156</u>	<p>Mail Returned as Undeliverable re: <u>151</u> Order, Addressed to Kelley Lynch. (mrs, ) (Entered: 05/22/2007)</p>
05/25/2007	<u>157</u>	<p>Mail Returned as Undeliverable re: <u>154</u> Order, Addressed to Kelley Lynch. (gms, ) (Entered: 05/25/2007)</p>
05/29/2007	<u>158</u>	<p>Mail Returned as Undeliverable re: <u>155</u> Order on Motion to Stay Addressed to Kelley Lynch. (gms, ) (Entered: 05/29/2007)</p>

06/04/2007	<u>159</u>	RESPONSE to Motion re <u>150</u> MOTION to Amend/Correct/Modify <u>100</u> Answer to Amended Complaint , defendant leonard cohen's amended counterclaims and jury demand. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B# <u>3</u> Exhibit C# <u>4</u> Deposition Excerpts D# <u>5</u> Exhibit E# <u>6</u> Exhibit F)(Scheid, R.) (Modified on 6/5/2007 to edit triplicated text)(erv, ). (Entered: 06/04/2007)
06/05/2007	160	Docket Annotation re: <u>159</u> Response to Motion, The Text was edited to correct Triplicative text that was pulled into the entry. Text only entry - no document attached. (erv, ) (Entered: 06/05/2007)
06/11/2007	<u>161</u>	MOTION for Extension of Time to File Response/Reply as to <u>150</u> MOTION to Amend/Correct/Modify <u>100</u> Answer to Amended Complaint , <i>defendant leonard cohen's amended counterclaims and jury demand by Defendant Leonard Cohen. (Attachments: # <u>1</u> Proposed Order (PDF Only) proposed order)(Horowitz, Jay) Modified on 6/12/2007 to delete duplicated text (mrs, ). (Entered: 06/11/2007)</i>
06/11/2007	<u>162</u>	SUPPLEMENT/AMENDMENT to <u>161</u> MOTION for Extension of Time to File Response/Reply as to <u>150</u> MOTION to Amend/Correct/Modify <u>100</u> Answer to Amended Complaint , <i>defendant leonard cohen's amended counterclaims and jury demand by Defendant Leonard Cohen. (Horowitz, Jay) Modified on 6/12/2007 to delete duplicated text (mrs, ). (Entered: 06/11/2007)</i>
06/12/2007	163	Docket Annotation re: <u>161</u> MOTION for Extension of Time to File Response/Reply as to <u>150</u> MOTION to Amend/Correct/Modify <u>100</u> Answer to Amended Complaint, defendant leonard cohen's amended counterclaims and jury demand. This docket entry was modified to delete duplicated text. Text only entry - no document attached. (mrs, ) (Entered: 06/12/2007)
06/12/2007	164	Docket Annotation re: <u>162</u> Supplement/Amendment, this docket entry was modified to delete duplicated text. Text only entry - no document attached. (mrs, ) (Entered: 06/12/2007)
06/12/2007	<u>165</u>	MINUTE ORDER GRANTING <u>161</u> Motion for Extension of Time to File Reply Brief in Support of <u>150</u> MOTION for Leave to Amend and the <u>162</u> Supplement to Request, Reply due by 6/21/2007, by Judge Lewis T. Babcock on 6/12/07.(mrs, ) (Entered: 06/12/2007)
06/21/2007	<u>166</u>	Mail Returned as Undeliverable re: <u>165</u> Order on Motion for Extension of Time to File Response/Reply Addressed to Kelley Lynch. (mrs, ) (Entered: 06/21/2007)
06/21/2007	<u>167</u>	REPLY to Response to Motion re <u>150</u> MOTION to Amend/Correct/Modify <u>100</u> Answer to Amended Complaint , <i>defendant leonard cohen's amended counterclaims and jury demand filed by Defendant Leonard Cohen. (Attachments: # <u>1</u> Exhibit exhibit 1 - cohen's amended answer, second amended counterclaims, jury demand# <u>2</u> Exhibit exhibit 2)(Horowitz, Jay) Modified on 6/22/2007 to delete duplicated text (mrs, ). (Entered: 06/21/2007)</i>
06/22/2007	<u>168</u>	ORDER, Agile Group, LLC may file a sur-reply, no more than 10 pages within 10 days of the date of this Order. Cohen may file further reply, no more than 10 pages within 10 days thereafter. Signed by Judge Lewis T. Babcock on 6/22/07. (mrs, ) (Entered: 06/22/2007)
06/22/2007	169	Docket Annotation re: <u>167</u> Reply to Response to Motion, this docket entry modified to delete duplicated text. Text only entry - no document attached. (mrs, ) (Entered: 06/22/2007)
07/02/2007	<u>170</u>	SURREPLY re <u>150</u> MOTION to Amend/Correct/Modify <u>100</u> Answer to Amended Complaint , <i>defendant leonard cohen's amended counterclaims and jury demand filed by Plaintiffs Greenberg &amp; Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Timothy Barnett</i>

		(Attachments: # <u>1</u> Exhibit A)(Scheid, R.) Modified on 7/5/2007 to correct filers (mrs, ). (Entered: 07/02/2007)
07/02/2007	<u>171</u>	Mail Returned as Undeliverable re: <u>168</u> Order Addressed to Kelley Lynch. (mrs, ) (Entered: 07/02/2007)
07/05/2007	<u>172</u>	Docket Annotation re: <u>170</u> Surreply, this docket entry modified to correct filers. Text only entry - no document attached. (mrs, ) (Entered: 07/05/2007)
07/12/2007	<u>173</u>	SURREPLY re <u>150</u> MOTION to Amend/Correct/Modify <u>100</u> Answer to Amended Complaint, <i>defendant leonard cohen's amended counterclaims and jury demand filed by Defendant Leonard Cohen. ATTACHED EXHIBITS ARE STRICKEN pursuant to Order dated 7/16/07.</i> (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B# <u>3</u> Exhibit C# <u>4</u> Exhibit D)(Horowitz, Jay) Modified on 7/13/2007 to delete duplicated text generated by the system (mrs, ). Modified on 7/16/2007 (mrs, ). (Entered: 07/12/2007)
07/16/2007	<u>174</u>	ORDER re: <u>173</u> Surreply filed by Leonard Cohen. The attached exhibits are STRICKEN and will not be considered by the Court. Signed by Judge Lewis T. Babcock on 7/16/07. (mrs, ) (Entered: 07/16/2007)
10/26/2007	<u>175</u>	ORDER DENYING Cohen's <u>150</u> Motion for Leave to File His Amended Answer to Second Amended Complaint, His Amended Counterclaims, and His Jury Demand. Signed by Judge Lewis T. Babcock on 10/26/07.(mrs) (Entered: 10/29/2007)
11/13/2007	<u>176</u>	MOTION for Order to <i>establish briefing schedule upon plaintiffs' motion for summary judgment</i> by Defendant Leonard Cohen. (Attachments: # <u>1</u> Exhibit a, # <u>2</u> Proposed Order (PDF Only))(Horowitz, Jay) (Entered: 11/13/2007)
11/14/2007	<u>177</u>	MINUTE ORDER GRANTING Defendant Leonard Cohen's <u>176</u> Motion for to Establish a Briefing Schedule Upon Plaintiffs' Motion for Summary Judgment. Defendant Cohen has up to and including 12/13/07 to file a response. Plaintiff Agile Group has up to and including 1/25/08 to file a reply, by Judge Lewis T. Babcock on 11/14/07.(mrs, ) (Entered: 11/14/2007)
12/06/2007	<u>178</u>	Unopposed MOTION for Extension of Time to File Response/Reply as to <u>148</u> MOTION for Summary Judgment <i>As to Cohen's First Counterclaim to extend by one week the deadlines for the parties' filing of their remaining briefs</i> by Defendant Leonard Cohen. (Attachments: # <u>1</u> Proposed Order (PDF Only))(Horowitz, Jay) (Entered: 12/06/2007)
12/07/2007	<u>179</u>	MINUTE ORDER GRANTING Defendant Leonard Cohen's Unopposed <u>178</u> Motion to Extend by One Week the Deadlines for the parties' filing their remaining briefs upon Plaintiffs' Motion for Summary Judgment. Defendant Cohen has up to and including 12/20/07 to file a response. Plaintiffs have up to and including 2/1/08 to file a reply, by Judge Lewis T. Babcock on 12/7/07.(mrs, ) (Entered: 12/07/2007)
12/20/2007	<u>180</u>	RESPONSE to Motion re <u>148</u> MOTION for Summary Judgment <i>As to Cohen's First Counterclaim</i> filed by Defendant Leonard Cohen. (Attachments: # <u>1</u> Affidavit leonard cohen - exhibit a, # <u>2</u> Exhibit 1, # <u>3</u> Exhibit 2, # <u>4</u> Exhibit 3, # <u>5</u> Exhibit 4, # <u>6</u> Exhibit 5, # <u>7</u> Exhibit 6, # <u>8</u> Exhibit 7, # <u>9</u> Exhibit 8, # <u>10</u> Exhibit 9, # <u>11</u> Exhibit 10, # <u>12</u> Deposition Excerpts 11, # <u>13</u> Exhibit 12, # <u>14</u> Exhibit 13, # <u>15</u> Exhibit 14, # <u>16</u> Exhibit 15, # <u>17</u> Exhibit 16, # <u>18</u> Exhibit 17, # <u>19</u> Exhibit 18, # <u>20</u> Exhibit 19, # <u>21</u> Exhibit 20, # <u>22</u> Exhibit 21, # <u>23</u> Exhibit 22, # <u>24</u> Exhibit 23, # <u>25</u> Exhibit 24, # <u>26</u> Exhibit 25, # <u>27</u> Exhibit 26, # <u>28</u> Exhibit 27, # <u>29</u> Exhibit 28, # <u>30</u> Exhibit 29, # <u>31</u> Exhibit 30, # <u>32</u> Exhibit 31, # <u>33</u> Exhibit 32, # <u>34</u> Exhibit 33, # <u>35</u> Exhibit 34, # <u>36</u> Exhibit 35, # <u>37</u> Exhibit 36, # <u>38</u> Exhibit 37, # <u>39</u> Exhibit 38, # <u>40</u> Exhibit 39, # <u>41</u> Exhibit 40, # <u>42</u> Exhibit 41, # <u>43</u> Exhibit 42, # <u>44</u> Exhibit 43, # <u>45</u> Exhibit 44, part 1, # <u>46</u> Exhibit 44, part 2, # <u>47</u> Pages summary of exhibits 1-44, # <u>48</u> Affidavit jay horowitz, exhibit

		b)(Horowitz, Jay) (Entered: 12/20/2007)
02/01/2008	<u>181</u>	REPLY to Response to Motion re <u>148</u> MOTION for Summary Judgment <i>As to Cohen's First Counterclaim</i> filed by Plaintiffs Greenberg & Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Greenberg & Associates, Inc., Tactical Allocation Services, LLC, Agile Group, LLC. (Attachments: # <u>1</u> Exhibit A)(Scheid, R.) (Entered: 02/01/2008)
02/21/2008	<u>182</u>	ORDER granting <u>148</u> Plaintiffs Motion for Summary Judgment as to Cohens First Counterclaim. Signed by Judge Lewis T. Babcock on 02/21/2008.(sah2, ) (Entered: 02/22/2008)
04/02/2008	<u>183</u>	Mail Returned as Undeliverable re: <u>182</u> Order on Motion for Summary Judgment Addressed to Kelley Lynch. (sah, ) (Entered: 04/02/2008)
04/29/2008	<u>184</u>	NOTICE of Entry of Appearance by Michelle Lorraine Rice on behalf of Leonard Cohen (Rice, Michelle) (Entered: 04/29/2008)
04/29/2008	<u>185</u>	MOTION for Summary Judgment by Defendant Leonard Cohen. (Rice, Michelle) (Entered: 04/29/2008)
04/29/2008	<u>186</u>	Exhibits in Support of <u>185</u> MOTION for Summary Judgment by Defendant Leonard Cohen. (Attachments: # <u>1</u> Exhibit A-2, # <u>2</u> Exhibit A-3, # <u>3</u> Exhibit A-4, # <u>4</u> Exhibit A-5, # <u>5</u> Exhibit A-6, # <u>6</u> Exhibit A-7, # <u>7</u> Exhibit A-8, # <u>8</u> Exhibit A-9, # <u>9</u> Exhibit A-10, # <u>10</u> Exhibit A-11, # <u>11</u> Exhibit A-12, # <u>12</u> Exhibit A-13, # <u>13</u> Exhibit A-14, # <u>14</u> Exhibit A-15, # <u>15</u> Exhibit A-16, # <u>16</u> Affidavit of Leonard Cohen, # <u>17</u> Exhibit B-1, # <u>18</u> Exhibit B-2, # <u>19</u> Exhibit B-3, # <u>20</u> Exhibit B-4, # <u>21</u> Exhibit B-5, # <u>22</u> Exhibit B-6, # <u>23</u> Exhibit B-7, # <u>24</u> Exhibit B-8, # <u>25</u> Affidavit of Matthew Traub, # <u>26</u> Exhibit C-1, # <u>27</u> Exhibit C-2, # <u>28</u> Affidavit of Jarkko Arjatsalo, # <u>29</u> Exhibit D-1, # <u>30</u> Exhibit D-2, # <u>31</u> Exhibit D-3, # <u>32</u> Exhibit D-4)(Rice, Michelle) (Entered: 04/29/2008)
04/30/2008	<u>187</u>	MOTION to Withdraw as Attorney by Defendant Leonard Cohen. (Attachments: # <u>1</u> Proposed Order (PDF Only))(Horowitz, Jay) (Entered: 04/30/2008)
04/30/2008	<u>188</u>	NOTICE re <u>187</u> MOTION to Withdraw as Attorney by Defendant Leonard Cohen (Horowitz, Jay) (Entered: 04/30/2008)
05/02/2008	<u>189</u>	MINUTE ORDER granting <u>187</u> Motion to Withdraw as Attorney. Attorney Jay Stanley Horowitz terminated. Defendant Cohen will continue to be represented by Michelle Lorraine Rice of the Law Offices of Robert Kory by Judge Lewis T. Babcock on 05/02/2008.(sah, ) (Entered: 05/02/2008)
05/05/2008	<u>190</u>	NOTICE of Entry of Appearance by Andrew Wilson Myers on behalf of Leonard Cohen (Myers, Andrew) (Entered: 05/05/2008)
05/05/2008	<u>191</u>	NOTICE of Entry of Appearance by Jeffrey A. Chase on behalf of Leonard Cohen (Chase, Jeffrey) (Entered: 05/05/2008)
05/06/2008	<u>192</u>	MOTION to Withdraw as Attorney by Defendant Leonard Cohen. (Attachments: # <u>1</u> Proposed Order (PDF Only))(Forbes, Peter) (Entered: 05/06/2008)
05/07/2008	<u>193</u>	MINUTE ORDER granting <u>192</u> Motion to Withdraw as Attorney. Attorney Peter C. Forbes terminated. Defendant Cohen will continue to be represented by Michelle Lorraine Rice of the Law Offices of Robert Kory by Judge Lewis T. Babcock on 05/07/2008.(sah, ) (Entered: 05/07/2008)
05/21/2008	<u>194</u>	MOTION to Dismiss <i>Certain Remaining Claims Pursuant to Fed. R. Civ. P. 41(a)(2)</i> by Plaintiffs Greenberg & Associates Securities, Inc., Neal R. Greenberg, Natural Wealth

		Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Counter Defendants Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Greenberg & Associates Securities, Inc., Neal R. Greenberg, Timothy Barnett. (Attachments: # <u>1</u> Exhibit A)(Scheid, R.) (Entered: 05/21/2008)
05/21/2008	<u>195</u>	MOTION to Stay re <u>185</u> MOTION for Summary Judgment <i>Addressing Claims Subject to Rule 41(a)(2) Motion to Dismiss</i> by Plaintiffs Greenberg & Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Counter Defendants Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Greenberg & Associates Securities, Inc., Neal R. Greenberg, Timothy Barnett. (Attachments: # <u>1</u> Proposed Order (PDF Only))(Scheid, R.) (Entered: 05/21/2008)
05/21/2008	<u>196</u>	RESPONSE to Motion re <u>185</u> MOTION for Summary Judgment filed by Plaintiffs Greenberg & Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Counter Defendants Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Greenberg & Associates Securities, Inc., Neal R. Greenberg, Timothy Barnett. (Scheid, R.) (Entered: 05/21/2008)
05/21/2008	<u>197</u>	MOTION for Attorney Fees <i>and Costs Against Cohen</i> by Plaintiffs Greenberg & Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Counter Defendants Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Greenberg & Associates Securities, Inc., Neal R. Greenberg, Timothy Barnett. (Scheid, R.) (Entered: 05/21/2008)
05/21/2008	<u>198</u>	Mail Returned as Undeliverable re: <u>193</u> Order on Motion to Withdraw as Attorney, Addressed to Kelley Lynch. (sah, ) (Entered: 05/21/2008)
05/21/2008	<u>199</u>	BRIEF in Support re <u>197</u> MOTION for Attorney Fees <i>and Costs Against Cohen</i> filed by Plaintiffs Greenberg & Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Counter Defendants Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Greenberg & Associates Securities, Inc., Neal R. Greenberg, Timothy Barnett. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B to Brief, # <u>3</u> Exhibit Exhibits C and C-1, # <u>4</u> Exhibit C-2 to Brief, # <u>5</u> Exhibit C-3 (1 of 2) to Brief, # <u>6</u> Exhibit C-3 (2 of 2) to Brief)(Scheid, R.) (Entered: 05/21/2008)
05/22/2008	<u>200</u>	MINUTE ORDER re: <u>194</u> Plaintiffs have filed a Motion to Dismiss Certain Remaining Claims and <u>197</u> Plaintiffs and Barnett have filed a Motion for Award of Attorneys Fees and Costs Against Cohen. Defendants have up to and including 6/11/2008 to file a response to both motions. Plaintiffs and Barnett have up to and including 6/21/2008 to file a reply to both motions by Judge Lewis T. Babcock on 05/22/2008. (sah, ) (Entered: 05/22/2008)
05/22/2008	<u>201</u>	ORDER granting <u>195</u> Plaintiffs Motion to Stay Briefing as to Portions of Defendant Cohens Summary Judgment Motion Addressing Claims Subject to Rule 41(a)(2) Motion to Dismiss. Signed by Judge Lewis T. Babcock on 05/22/2008.(sah, ) (Entered: 05/22/2008)
05/30/2008	<u>202</u>	Unopposed MOTION for Extension of Time to File Response/Reply as to <u>197</u> MOTION for Attorney Fees <i>and Costs Against Cohen</i> by Defendant Leonard Cohen. (Attachments: # <u>1</u> Proposed Order (PDF Only))(Myers, Andrew) (Entered: 05/30/2008)
05/30/2008	<u>203</u>	RESPONSE to Motion re <u>194</u> MOTION to Dismiss <i>Certain Remaining Claims Pursuant to Fed. R. Civ. P. 41(a)(2)</i> MOTION to Dismiss <i>Certain Remaining Claims Pursuant to</i>

		<i>Fed. R. Civ. P. 41(a)(2)</i> filed by Defendant Leonard Cohen. (Myers, Andrew) (Entered: 05/30/2008)
06/02/2008	<u>204</u>	MINUTE ORDER granting <u>202</u> Defendant Leonard Cohens Unopposed Motion for Twenty-Day Extension of Time to Respond to Plaintiffs Motion for Attorney Fees. Defendant has up to and including 7/1/2008 by Judge Lewis T. Babcock on 06/02/2008.(sah, ) (Entered: 06/02/2008)
06/02/2008	<u>205</u>	ORDER granting <u>194</u> Plaintiffs Motion to Dismiss Certain Remaining Claims Pursuant to FED. R. CIV. P. 41(a)(2). Plaintiffs First Claim for Relief (Defamation) (Against Cohen) is DISMISSED WITH PREJUDICE. Each party shall bear its own attorney fees and costs incurred with respect to this claim. Plaintiffs Second Claim for Relief (Commercial Disparagement) (Against Cohen) is DISMISSED WITH PREJUDICE. Each party shall bear its own attorney fees and costs incurred with respect to this claim. Plaintiffs Fourth Claim for Relief (Quantum Meruit/Unjust Enrichment) (Against Cohen) is DISMISSED WITH PREJUDICE. Each party shall bear its own attorney fees and costs incurred with respect to this claim. Plaintiffs Eighth Claim for Relief (Injunction) (Against Cohen) is DISMISSED WITH PREJUDICE. Each party shall bear its own attorney fees and costs incurred with respect to this claim. Plaintiffs Ninth Claim for Relief (Declaratory Judgment) (Against Cohen and Lynch) is DISMISSED WITH PREJUDICE. Each party shall bear its own attorney fees and costs incurred with respect to this claim. Cohen shall have up to and including 6/20/2008 to file a Reply to Plaintiffs Response to Cohens Motion for Summary Judgment <u>196</u> . Signed by Judge Lewis T. Babcock on 06/02/2008.(sah, ) (Entered: 06/02/2008)
06/06/2008	<u>206</u>	Mail Returned as Undeliverable re: <u>200</u> Order, Addressed to Kelley Lynch. (sah, ) (Entered: 06/06/2008)
06/09/2008	<u>207</u>	Mail Returned as Undeliverable re: <u>179</u> Order on Motion for Extension of Time to File Response/Reply, Addressed to Kelley Lynch. (pap, ) (Entered: 06/09/2008)
06/09/2008	<u>208</u>	Mail Returned as Undeliverable re: <u>189</u> Order on Motion to Withdraw as Attorney, Addressed to Kelley Lynch. (pap, ) (Entered: 06/09/2008)
06/09/2008	<u>209</u>	Mail Returned as Undeliverable re: <u>177</u> Order on Motion for Order, Addressed to Kelley Lynch. (pap, ) (Entered: 06/09/2008)
06/20/2008	<u>210</u>	REPLY to Response to Motion re <u>185</u> MOTION for Summary Judgment filed by Defendant Leonard Cohen. (Attachments: # <u>1</u> Affidavit of Claudia Jones)(Myers, Andrew) (Entered: 06/20/2008)
06/21/2008	<u>211</u>	Mail Returned as Undeliverable re: <u>204</u> Order on Motion for Extension of Time to File Response/Reply. Addressed to Kelley Lynch. (sah, ) (Entered: 06/23/2008)
07/01/2008	<u>212</u>	Mail Returned as Undeliverable re: <u>205</u> Order on Motion to Dismiss, Addressed to Kelley Lynch. (sah, ) (Entered: 07/01/2008)
07/01/2008	<u>213</u>	BRIEF in Opposition re <u>197</u> MOTION for Attorney Fees <i>and Costs Against Cohen</i> filed by Defendant Leonard Cohen. (Attachments: # <u>1</u> Exhibit A-1, # <u>2</u> Exhibit A-2, # <u>3</u> Exhibit A-3, # <u>4</u> Exhibit A-4, # <u>5</u> Exhibit A-5, # <u>6</u> Exhibit A-6, # <u>7</u> Exhibit A-7, # <u>8</u> Exhibit A-8, # <u>9</u> Exhibit A-9, # <u>10</u> Exhibit A-10, # <u>11</u> Exhibit A-11, # <u>12</u> Exhibit A-12, # <u>13</u> Exhibit A-13, # <u>14</u> Exhibit A-14)(Rice, Michelle) (Entered: 07/01/2008)
07/08/2008	<u>214</u>	Unopposed MOTION for Extension of Time to File Response/Reply as to <u>197</u> MOTION for Attorney Fees <i>and Costs Against Cohen</i> by Plaintiffs Greenberg & Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Counter Defendants Natural Wealth Real Estate, Inc.,

		Tactical Allocation Services, LLC, Agile Group, LLC, Greenberg & Associates Securities, Inc., Neal R. Greenberg. (Attachments: # <u>1</u> Proposed Order (PDF Only))(Scheid, R.) (Entered: 07/08/2008)
07/09/2008	<u>215</u>	MINUTE ORDER Granting <u>214</u> Motion for Extension of Time to File Response/Reply. Plaintiff has up to and including August 11, 2008 to file its reply. By Judge Lewis T. Babcock on 7/9/08.(psfcd) (Entered: 07/09/2008)
08/11/2008	<u>216</u>	REPLY to Response to Motion re <u>197</u> MOTION for Attorney Fees <i>and Costs Against Cohen PLAINTIFF'S AND BARNETT'S REPLY IN SUPPORT OF MOTION FOR AWARD OF ATTORNEYS FEES AND COSTS AGAINST COHEN</i> filed by Plaintiffs Greenberg & Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Greenberg & Associates, Inc., Tactical Allocation Services, LLC, Agile Group, LLC. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit B-1, # <u>4</u> Exhibit C)(Scheid, R.) (Entered: 08/11/2008)
08/22/2008	<u>217</u>	MOTION to Strike Submit Limited Surreply to <u>216</u> Plaintiffs' Reply in Support of Motion for Attorney Fees or, Alternatively, to Strike Opinion Letter of Daniel M. Reilly by Defendant Leonard Cohen. (Attachments: # <u>1</u> Proposed Order (PDF Only), # <u>2</u> Proposed Order (PDF Only))(Myers, Andrew) Modified on 8/25/2008 to create linkage to Plaintiffs reply(sah, ). (Entered: 08/22/2008)
08/22/2008	<u>218</u>	Docket Annotation re: <u>217</u> MOTION to Strike <i>Submit Limited Surreply to Plaintiffs' Reply in Support of Motion for Attorney Fees or, Alternatively, to Strike Opinion Letter of Daniel M. Reilly. Entry modified to create linkage to Plaintiffs reply. Text only entry - no document attached (sah, )</i> (Entered: 08/25/2008)
08/25/2008	<u>220</u>	ORDER granting <u>217</u> Defendant Cohens Motion to Submit Limited Surreply to Plaintiffs Reply in Support of Motion for Attorney Fees or, Alternatively, to Strike Opinion Letter of Daniel M. Reilly. Defendant Cohen shall have up to and including 9/4/2008 to file a Surreply to Plaintiffs Reply in Support of Motion for Attorney Fees. Signed by Judge Lewis T. Babcock on 08/25/2008.(sah, ) (Entered: 08/26/2008)
08/26/2008	<u>219</u>	RESPONSE to Motion re <u>217</u> MOTION to Strike <i>Submit Limited Surreply to Plaintiffs' Reply in Support of Motion for Attorney Fees or, Alternatively, to Strike Opinion Letter of Daniel M. Reilly</i> filed by Plaintiffs Greenberg & Associates Securities, Inc., Neal R. Greenberg, Natural Wealth Real Estate, Inc., Tactical Allocation Services, LLC, Agile Group, LLC, Counter Defendant Timothy Barnett. (Scheid, R.) (Entered: 08/26/2008)
09/04/2008	<u>221</u>	SURREPLY re <u>197</u> MOTION for Attorney Fees <i>and Costs Against Cohen to Plaintiffs' Reply in Support of Motion for Attorneys Fees</i> filed by Defendant Leonard Cohen. (Attachments: # <u>1</u> Exhibit A - Aisenberg rebuttal report)(Myers, Andrew) (Entered: 09/04/2008)
09/05/2008	<u>222</u>	ORDER. Plaintiffs Tenth Claim for Relief for Interpleader is DISMISSED, denying as moot <u>185</u> Defendant Cohens Motion for Summary Judgment as to Plaintiffs Tenth Claim for Relief for Interpleader The interpleaded funds currently in the Registry of the Courtincluding any accrued interest, less the Court Registry handling feeshall be disbursed to Defendant Cohen within ten days of the date of this Order; Each party shall bear its own attorney fees and costs related to this motion. Signed by Judge Lewis T. Babcock on 09/05/2008.(sah, ) (Entered: 09/05/2008)
10/21/2008	<u>223</u>	ORDER denying <u>197</u> Plaintiffs Motion for Award of Attorneys Fees and Costs Against Cohen. Signed by Judge Lewis T. Babcock on 10/21/2008.(sah, ) (Entered: 10/21/2008)

UNITED STATES DISTRICT COURT for the CENTRAL DISTRICT OF CALIFORNIA (Western Division - Los Angeles)

CIVIL DOCKET FOR CASE #: 2:05-cv-06047-RSWL-SS

Leonard Norman Cohen v. Neal R Greenberg et al  
Assigned to: Judge Ronald S.W. Lew  
Referred to: Magistrate Judge Suzanne H. Segal  
Cause: 28:1332 Diversity-Other Contract

Date Filed: 08/18/2005  
Date Terminated: 12/08/2005  
Jury Demand: None  
Nature of Suit: 190 Contract: Other  
Jurisdiction: Diversity

**Petitioner**

**Leonard Norman Cohen**  
*a California resident*

represented by **Joel Alan Feuer**  
Gibson Dunn & Crutcher LLP  
2029 Century Park East Suite 4000  
Los Angeles, CA 90067  
310-552-8500  
Fax: 310-551-8741  
Email: jfeuer@gibsondunn.com  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Scott A Edelman**  
Gibson Dunn and Crutcher LLP  
2029 Century Park East  
Los Angeles, CA 90067  
310-552-8500  
Fax: 310-551-8741  
Email: SEdelman@gibsondunn.com  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

V.

**Respondent**



**Neal R Greenberg**  
*a Colorado resident*

represented by **David M Given**  
 Phillips, Erlewine, Given & Carlin LLP  
 39 Mesa Street, Suite 201  
 The Presidio  
 San Francisco, CA 94129  
 415-398-0900  
 Fax: 415-398-0911  
 Email: dmg@phillaw.com  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**

**Respondent**

**Greenberg and Associates Inc**  
*a Delaware corporation*  
*doing business as*  
 Agile Advisors Inc

represented by **David M Given**  
 (See above for address)  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**

**Respondent**

**Tactical Allocation Services LLC**  
*a Delaware limited liability company*  
*doing business as*  
 Agile Group LLC

represented by **David M Given**  
 (See above for address)  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**

**Respondent**

**Greenberg and Associates Securities Inc**  
*a Delaware corporation*  
*doing business as*  
 Agile Group

represented by **David M Given**  
 (See above for address)  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**

Date Filed	#	Docket Text
08/18/2005	1	PETITION filed against Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC for an order compelling arbitration.(Filing fee \$250), filed by petitioner Leonard Norman

		Cohen.(rrey, ) (Entered: 08/23/2005)
08/18/2005		20 Day Summons Issued re Petition (case opening) 1 as to Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. (rrey, ) (Entered: 08/23/2005)
08/18/2005	2	CERTIFICATION AND NOTICE of Interested Parties filed by Petitioner Leonard Norman Cohen. (rrey, ) (Entered: 08/23/2005)
08/18/2005		FAX number for Attorney Scott A Edelman is 310-551-8741. (rrey, ) (Entered: 08/23/2005)
08/19/2005	4	NOTICE OF ERRATA RE Exhibits to Petition for an Order Confirming Arbitration filed by Petitioner Leonard Norman Cohen. (yl, ) (Entered: 08/25/2005)
08/25/2005	<u>3</u>	ORDER RETURNING CASE FOR REASSIGNMENT by Judge Mariana R. Pfaelzer. ORDER case returned to the Clerk for random reassignment pursuant to General Order 224. Case randomly reassigned from Judge Mariana R. Pfaelzer and Suzanne H. Segal to Judge Ronald S.W. Lew and Suzanne H. Segal for all further proceedings. The case number will now reflect the initials of the transferee Judge CV 05-6047 RSWL (SSx).(rn, ) (Entered: 08/25/2005)
08/26/2005	<u>5</u>	MINUTES OF IN CHAMBERS ORDER by Judge Ronald S.W. Lew: The case number will now read: CV 05-6047-RSWL. Henceforth, it is imperative that the initials RSWL be used on all documents to prevent any delays in processing of documents.The Court is in receipt of Petitioners Petition for an Order Compelling Arbitration filed August 18, 2005. The Court sets this matter for hearing on OCTOBER 3, 2005, at 9:00 a.m. Oppositions by defendants are due no later than September 19, 2005, Replies, if any, are due September 26, 2005. Plaintiff counsel is to give notice of this hearing. This Court is located at: 312 N. Spring Street, Fifth Floor, Courtroom 21, Los Angeles, California, 90012.Court Reporter: none. (kd) (Entered: 08/26/2005)
09/01/2005	6	FIRST AMENDED PETITIONER FOR AN ORDER COMPELLING ARBITRATION against respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC amending Petition (case opening), Petition (case opening) 1 ,filed by petiitoner Leonard Norman Cohen (bg, ) (Entered: 09/02/2005)

09/01/2005	7	NOTICE of Interested Parties filed by Respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. (bg, ) (Entered: 09/02/2005)
09/01/2005	11	NOTICE of Related Case(s) filed by respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. Related Case(s): 05-CV-01233-LTB-MJW, pending in the District of Colorado (stsh, ) (Entered: 09/09/2005)
09/06/2005	8	MEMORANDUM of Points and Authorities in Support FIRST AMENDED PETITIONER FOR AN ORDER COMPELLING ARBITRATION 6 filed by petitioner Leonard Norman Cohen. (jp, ) (Entered: 09/09/2005)
09/06/2005	9	DECLARATION of JOEL A FEUER in support of FIRST AMENDED PETITIONER FOR AN ORDER COMPELLING ARBITRATION 6 filed by Petitioner Leonard Norman Cohen. (jp, ) (Entered: 09/09/2005)
09/06/2005	10	NOTICE OF MOTION AND MOTION to Compel Arbitration filed by petitioner Leonard Norman Cohen. Motion set for hearing on 10/3/2005 at 09:00 AM before Honorable Ronald S.W. Lew. (jp, ) (Entered: 09/09/2005)
09/09/2005	15	OBJECTIONS to Notice of Related Case(s) 11 filed by Petitioner Leonard Norman Cohen. (bg, ) (Entered: 09/20/2005)
09/12/2005	12	NOTICE OF MOTION AND MOTION to Stay Further Proceedings filed by respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. Motion set for hearing on 10/3/2005 at 09:00 AM before Honorable Ronald S.W. Lew. (bg, ) (Entered: 09/13/2005)
09/12/2005	13	MEMORANDUM of points and authorities in Support of MOTION to Stay Further Proceeding 12 filed by respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. (bg, ) (Entered: 09/13/2005)
09/12/2005	14	DECLARATION of David M Given in support re MOTION to Stay Further Proceedings 12 filed by respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. (bg, )

		(Entered: 09/13/2005)
09/19/2005	16	OPPOSITION to MOTION to Stay 12 filed by petitioner Leonard Norman Cohen. (bg, ) (Entered: 09/21/2005)
09/19/2005	17	CERTIFICATE OF SERVICE filed by petitioner Leonard Norman Cohen. re Memorandum of Points and Authorities in Support (non-motion) 8 , MOTION to Compel Arbitration 10 , was served upon David M Given by personally delivering on 9/6/05. (bg, ) Modified on 9/21/2005 (bg, ). (Entered: 09/21/2005)
09/19/2005	18	MEMORANDUM of Points and Authorities in Opposition filed by respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. Re: First Amended Petition for Order Compelling Arbitration 6 (bg, ) (Entered: 09/21/2005)
09/19/2005	19	DECLARATION of Neal R Greenberg in opposition re First Amended Petition for Order Compelling Arbitration 6 filed by Respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. (bg, ) (Entered: 09/21/2005)
09/19/2005	20	DECLARATION of Respondents re First Amended Petition for Order Compelling Arbitration 6 filed by Respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. (bg, ) (Entered: 09/21/2005)
09/26/2005	22	REPLY TO OPPOSITION TO MOTION to Stay Further Proceedings in this Action 12 filed by Respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc and Tactical Allocation Services LLC. (yl, ) (Entered: 10/03/2005)
09/26/2005	23	DECLARATION of Ariane Sims in further support of Leonard Norman Cohen's First Amended petition for an order compelling arbitration 6 filed by Petitioner Leonard Norman Cohen. (ca, ) (Entered: 10/03/2005)
09/26/2005	24	REPLY memorandum of points and authorities in support of First Amended Petition for an order compelling arbitration, 6 filed by Petitioner Leonard Norman Cohen to (ca, ) (Entered: 10/03/2005)

09/27/2005	21	PROOF OF SERVICE filed by petitioner Leonard Norman Cohen. re First Amended Petition, 6 , was served upon David Chipman by hand delivery on 9/1/05. (bg, ) (Entered: 09/28/2005)
09/29/2005	26	OBJECTION to evidence submitted by petitioner in support of first amended petition for order compelling arbitration filed by Respondents Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC. (ca, ) (Entered: 10/05/2005)
10/03/2005	<u>25</u>	MINUTES OF Motion Hearing held before Judge Ronald S.W. Lew: Court and counsel confer. The Court GRANTS Respondents Motion to Stay 12 and DENIES AS MOOT Cohens Motion to Compel Arbitration 10 . Defense counsel is to prepare a proposed order for this Court pursuant to Local Rule 52-4. Court Reporter: Sheri Kleeeger. (kd) (Entered: 10/04/2005)
10/17/2005	<u>27</u>	ORDER by Judge Ronald S.W. Lew Granting MOTION to Stay of Action 12 ,And Denying as moot without prejudice to renewal in this Court, Petition to Compel Arbitration 10 (bg, ) (Entered: 10/19/2005)
12/08/2005	<u>28</u>	ORDER REMOVING CASE FROM ACTIVE CASELOAD BY VIRTUE OF STAY by Judge Ronald S.W. Lew. It is ORDERED that this action is removed from the Courts active caseload until further application by the parties or Order of this Court. It is Further ORDERED that counsel for plaintiff shall file semi-annual status reports commencing on 3/24/06. This Court retains jurisdiction over this action and this Order shall not prejudice any party to this action. (Made JS-6. Case Terminated.)(bg, ) (Entered: 12/09/2005)
01/10/2006	<u>29</u>	NOTICE of Dismissal pursuant Rule 41(a) or (c) FRCP filed by petitioner Leonard Norman Cohen. as to Greenberg and Associates Securities Inc, Neal R Greenberg, Greenberg and Associates Inc, Tactical Allocation Services LLC of action. Dismissal not stated prejudice. (bg, ) (Entered: 01/11/2006)

**PACER Service Center**

**Transaction Receipt**

04/12/2016 19:32:39			
<b>PACER Login:</b>	sengdongma:4808631:0	<b>Client Code:</b>	
<b>Description:</b>	Docket Report	<b>Search Criteria:</b>	2:05-cv-06047-RSWL-SS End date: 4/12/2016
<b>Billable Pages:</b>	4	<b>Cost:</b>	0.40

**2:05-cv-06047-RSWL-SS** Leonard Norman Cohen v. Neal R Greenberg et al  
 Ronald S.W. Lew, presiding  
 Suzanne H. Segal, referral  
**Date filed:** 08/18/2005  
**Date terminated:** 12/08/2005  
**Date of last filing:** 01/10/2006

## History

<b>Doc. No.</b>	<b>Dates</b>	<b>Description</b>
	<i>Filed:</i> 08/18/2005 <i>Entered:</i> 08/23/2005	☉ Summons Issued
	<i>Filed:</i> 08/18/2005 <i>Entered:</i> 08/23/2005	☉ (TERMED)Fax Number
1	<i>Filed:</i> 08/18/2005 <i>Entered:</i> 08/23/2005	☉ Petition (case opening)
2	<i>Filed:</i> 08/18/2005 <i>Entered:</i> 08/23/2005	☉ Certificate/Notice of Interested Parties
4	<i>Filed:</i> 08/19/2005 <i>Entered:</i> 08/25/2005	☉ Amendment (Motion related)
<u>3</u>	<i>Filed &amp; Entered:</i> 08/25/2005	☉ Returning Case for Reassignment w/i division (CV 89)
<u>5</u>	<i>Filed &amp; Entered:</i> 08/26/2005	☉ In Chambers Order/Directive - no proceeding held
6	<i>Filed:</i> 09/01/2005 <i>Entered:</i> 09/02/2005	☉ Amended Complaint

7	<i>Filed:</i> <i>Entered:</i>	09/01/2005 09/02/2005	☉ Certificate/Notice of Interested Parties
11	<i>Filed:</i> <i>Entered:</i>	09/01/2005 09/09/2005	☉ Notice of Related Case(s)
8	<i>Filed:</i> <i>Entered:</i>	09/06/2005 09/09/2005	☉ Memorandum of Points and Authorities in Support
9	<i>Filed:</i> <i>Entered:</i>	09/06/2005 09/09/2005	☉ Declaration
10	<i>Filed:</i> <i>Entered:</i> <i>Terminated:</i>	09/06/2005 09/09/2005 10/03/2005	☉ Motion to Compel Arbitration
15	<i>Filed:</i> <i>Entered:</i>	09/09/2005 09/20/2005	☉ Objection
12	<i>Filed:</i> <i>Entered:</i> <i>Terminated:</i>	09/12/2005 09/13/2005 10/03/2005	☉ Motion to Stay Order (other)
13	<i>Filed:</i> <i>Entered:</i>	09/12/2005 09/13/2005	☉ Memorandum in Support of Motion
14	<i>Filed:</i> <i>Entered:</i>	09/12/2005 09/13/2005	☉ Declaration (Motion related)
16	<i>Filed:</i> <i>Entered:</i>	09/19/2005 09/21/2005	☉ Response in Opposition to Motion
17	<i>Filed:</i> <i>Entered:</i>	09/19/2005 09/21/2005	☉ Proof of Service (subsequent documents)
18	<i>Filed:</i> <i>Entered:</i>	09/19/2005 09/21/2005	☉ Memorandum of Points and Authorities in Opposition
19	<i>Filed:</i> <i>Entered:</i>	09/19/2005 09/21/2005	☉ Declaration
20	<i>Filed:</i> <i>Entered:</i>	09/19/2005 09/21/2005	☉ Declaration
22	<i>Filed:</i> <i>Entered:</i>	09/26/2005 10/03/2005	☉ Reply (Motion related)
23	<i>Filed:</i> <i>Entered:</i>	09/26/2005 10/03/2005	☉ Declaration
24	<i>Filed:</i> <i>Entered:</i>	09/26/2005 10/03/2005	☉ Reply
21	<i>Filed:</i> <i>Entered:</i>	09/27/2005 09/28/2005	☉ Proof of Service (subsequent documents)
26	<i>Filed:</i> <i>Entered:</i>	09/29/2005 10/05/2005	☉ Objection

<u>25</u>	<i>Filed:</i> <i>Entered:</i>	10/03/2005 10/04/2005	🌐 Motion Hearing
<u>27</u>	<i>Filed:</i> <i>Entered:</i>	10/17/2005 10/19/2005	🌐 Order
<u>28</u>	<i>Filed:</i> <i>Entered:</i>	12/08/2005 12/09/2005	🌐 Order
<u>29</u>	<i>Filed:</i> <i>Entered:</i>	01/10/2006 01/11/2006	🌐 Notice of Voluntary Dismissal of a Case (Pursuant to FRCP 41(a)(1))

<b>PACER Service Center</b>			
<b>Transaction Receipt</b>			
04/12/2016 19:27:29			
<b>PACER Login:</b>	sengdongma:4808631:0	<b>Client Code:</b>	
<b>Description:</b>	History/Documents	<b>Search Criteria:</b>	2:05-cv-06047-RSWL-SS
<b>Billable Pages:</b>	1	<b>Cost:</b>	0.10



LOS ANGELES SUPERIOR COURT DOCKET

Case Number: BC338322

LEONARD NORMAN COHEN ET AL VS KELLEY A LYNCH ET AL  
Filing Date: 08/15/2005  
Case Type: Fraud (no contract) (General Jurisdiction)  
Status: Default Judgment Pursuant to Decl. 05/09/2006

Future Hearings

None

Parties

COHEN LEONARD NORMAN - Plaintiff/Petitioner  
EDELMAN SCOTT A. ESQ. - Former Attorney for Pltf/Petn  
KORN JEFFREY W. ESQ. - Former Attorney for Pltf/Petn  
LEONARD COHEN INVESTMENT LLC - Plaintiff/Petitioner  
LUCAS NANCY E. ESQ. - Attorney for Defendant/Respondent  
LYNCH KELLEY A. - Defendant/Respondent  
MICHELLE RICE - Attorney for Plaintiff/Petitioner  
WESTIN RICHARD A. - Defendant/Respondent

Documents Filed (Filing dates listed in descending order)

04/08/2016 Ntc to Prty re fee Clk's Transcript  
Filed by Clerk  
12/01/2015 Notice (notice of default unlimited civil appeal )  
Filed by Clerk  
11/10/2015 Ntc to Prty re fee Clk's Transcript (AMENDED SUPPLEMENTAL )  
Filed by Clerk  
11/06/2015 Designation of Record on Appeal  
Filed by Respondent  
11/05/2015 Ntc to Prty re fee Clk's Transcript  
Filed by Clerk  
10/27/2015 Notice of Designation of Record  
Filed by Appellant, Appellant in Pro Per  
10/19/2015 Ntc to Atty re Notice of Appeal  
Filed by Clerk  
10/16/2015 Notice of Appeal  
Filed by Appellant, Appellant in Pro Per  
10/07/2015 Order (Denying Motion to Tax Costs )  
Filed by Plaintiff

10/07/2015 Order (Denying Defendant's Motion to Set Aside Renewal of Judgment )

Filed by Plaintiff

09/16/2015 Proof of Service

Filed by Attorney for Appellant

08/25/2015 Stipulation and Order (Continuing Sanctions Motion (9/3 to 10/6) )

Filed by Plaintiff

08/06/2015 Notice of Designation of Record

Filed by Attorney for Respondent

07/29/2015 Ntc to Atty re Notice of Appeal

Filed by Clerk

07/28/2015 Notice of Appeal

Filed by Appellant, Appellant in Pro Per

07/28/2015 Notice of Designation of Record

Filed by Appellant, Appellant in Pro Per

07/28/2015 Request-Waive Court Fees

Filed by Appellant, Appellant in Pro Per

07/13/2015 Renewal of Judgment (in the amt of \$14,059,183.80 FILED )

Filed by Attorney for Pltf/Petr

07/13/2015 Memorandum of Costs (cost: 0.00 credit: 0.00 interest: \$6,717,808.80 )

Filed by Attorney for Pltf/Petr

07/13/2015 Notice (of renewal of judgment: issued )

Filed by Attorney for Pltf/Petr

06/25/2015 Notice of Entry of Judgment

Filed by Attorney for Plaintiff/Petitioner

06/09/2015 Notice (OF CONSENT )

Filed by Attorney for Plaintiff/Petitioner

06/05/2015 Proof of Service (OF ORER )

Filed by Attorney for Plaintiff/Petitioner

05/29/2015 Order (granting plaintiff's exparte application to seal portions of court record )

Filed by Attorney for Plaintiff/Petitioner

05/29/2015 Opposition Document (to exparte application )

Filed by Defendant & Defendant in Pro Per

05/29/2015 Ex-Parte Application (to grant plaintiff's motion to seal portions of the court record )

Filed by Attorney for Plaintiff/Petitioner

01/21/2014 Request for Certified Copy

Filed by Plaintiff/Petitioner

12/24/2013 Opposition Document (TO EX PARTE APPLICATION FOR CONTINUANCE ON HEARING ON MOTION TO VACATE DEFAULT JUDGMENT )

Filed by Defendant & Defendant in Pro Per

11/22/2013 Notice (OF ORDER CONTINUING DATE FOR HEARING ON MOTION TO VACATE DEFAULT JUDGMENT )

Filed by Attorney for Plaintiff/Petitioner

11/14/2013 Substitution of Attorney

Filed by Attorney for Pltf/Petr

06/14/2006 Abstract of Judgment

Filed by Clerk

05/15/2006 Default Judgment

Filed by Attorney for Plaintiff/Petitioner

05/12/2006 Notice

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Declaration (OF LEONARD NORMAN COHEN )

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Request to Enter Default (JUDGMENT FOLLOWING STATUS CONFERENCE OF FEBRUARY 27, 2006 )

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Request to Enter Judgment (NOT ENTERED AS REQUESTED )

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Request for Dismissal (DOES 1-50 )

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Declaration (OF SCOTT A. EDELMAN )

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Miscellaneous-Other (PLAINTIFFS' CASE SUMMARY )

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Points and Authorities (RE: DEFAULT JUDGMENT )

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Declaration (OF KEVING L. PRINS )

Filed by Attorney for Plaintiff/Petitioner

04/28/2006 Ex-Parte Application

Filed by Attorney for Plaintiff/Petitioner

04/07/2006 Notice of Continuance

Filed by Attorney for Plaintiff/Petitioner

04/04/2006 Notice of Continuance (OF OSC FROM 4/14/06 TO 5/5/06 )

Filed by Clerk

03/06/2006 Partial Dismissal (with Prejudice) (P/DISMISSAL FILED ON 3-6-06 WITH PREJUDICE AGAINST DEFT RICHARD WESTIN, ONLY (SENT

TO IMAGING) )

Filed by Attorney for Plaintiff/Petitioner

12/19/2005 Request

Filed by Attorney for Plaintiff/Petitioner

12/05/2005 Default Entered (KELLEY A. LYNCH )

Filed by Attorney for Pltf/Petnr

12/01/2005 Statement-Case Management

Filed by Attorney for Plaintiff/Petitioner

11/30/2005 Request to Enter Default (DEFAULT REJECT FOR LYNCH- DATE OF MAILING CANNOT BE DATED BEFORE REQUEST TO ENTER DEFAULT. )

Filed by Attorney for Pltf/Petnr

11/28/2005 Statement-Case Management

Filed by Attorney for Defendant/Respondent

11/22/2005 Request to Enter Default (DEFAULT REJECT FOR LYNCH-#9.16. NEED DATE ON ITEM 3. NEED DATE OF EXECUTION ON ITEM 6B. )

Filed by Attorney for Pltf/Petnr

11/22/2005 Summons Filed

Filed by Attorney for Pltf/Petnr

11/10/2005 Proof of Service

Filed by Attorney for Plaintiff/Petitioner

10/31/2005 Request

Filed by Attorney for Plaintiff/Petitioner

10/05/2005 Answer to Complaint (by richard westin )

Filed by Attorney for Defendant/Respondent

08/31/2005 Notice-Case Management Conference

Filed by Attorney for Plaintiff/Petitioner

08/26/2005 Notice-Case Management Conference

Filed by Clerk

08/25/2005 Proof of Service

Filed by Attorney for Plaintiff/Petitioner

08/15/2005 Complaint

**Proceedings Held** (Proceeding dates listed in descending order)

10/06/2015 at 08:33 am in Department 24, Robert L. Hess, Presiding

Motion for Sanctions - Denied

06/23/2015 at 08:33 am in Department 24, Robert L. Hess, Presiding

Motion for Sanctions (termination) - Denied

05/29/2015 at 08:30 am in Department 24, Robert L. Hess, Presiding

Exparte proceeding (TO GRANT PLAINTIFF'S MOTION TO SEAL PORTIONS OF THE COURTS RECORD) - Granted

01/17/2014 at 08:33 am in Department 24, Robert L. Hess, Presiding

Motion Hearing (Motion to Vacate Judgment) - Denied

12/30/2013 at 08:30 am in Department 24, Robert L. Hess, Presiding

Exparte proceeding - Off Calendar

12/04/2013 at 08:33 am in Department 24, Robert L. Hess, Presiding

Motion Hearing (MOTION TO Vacate JUDGMENT) - Off Calendar

11/18/2013 at 08:30 am in Department 24, Robert L. Hess, Presiding

Exparte proceeding - Granted

09/30/2013 in Department 1, Daniel J. Buckley, Presiding

Non-Appearance (Case Review) (REASSIGNMENT TO JUDGE ROBERT L. HESS, DEPT. 24.) - Court makes order

05/09/2006 at 08:29 am in Department 64, Kenneth R. Freeman, Presiding

OSC RE Dismissal (PURSUANT TO THE SETTLEMENT C/F 5/5/06) - Court makes order

04/28/2006 at 08:30 am in Department 64, Kenneth R. Freeman, Presiding

Exparte proceeding - Submitted

02/27/2006 at 08:30 am in Department 64, Kenneth R. Freeman, Presiding

Conference-Post Mediation Status (SETTLEMENT CONFERENCE) - Case Deemed Settled

11/29/2005 at 08:30 am in Department 64, Kenneth R. Freeman, Presiding

Conference-Case Management - Trial Date Set

11/08/2005 at 08:28 am in Department 64, Kenneth R. Freeman, Presiding

Non-Appearance (Case Review) (RE: NTC OF REL CASES W/BC341120) - Court makes order

LOS ANGELES SUPERIOR COURT DOCKET

Case Number: BC341120

LEONARD NORMAN COHEN VS KELLEY A LYNCH

Filing Date: 10/11/2005

Case Type: Injunct Relief-not Dom/Harrasmt (General Jurisdiction)

Status: Default Judgment Pursuant to Decl. 05/09/2006

Future Hearings

None

Parties

COHEN LEONARD NORMAN - Plaintiff/Petitioner

EDELMAN SCOTT A. ESQ. - Attorney for Plaintiff/Petitioner

LYNCH KELLEY A. - Defendant/Respondent

PHILLIPS ERLEWINE & GIVEN LLP - Applicant

Documents Filed (Filing dates listed in descending order)

05/12/2006 Notice

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Default Judgment (BY COURT )

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Request to Enter Judgment (DEFAULT )

Filed by Attorney for Plaintiff/Petitioner

05/09/2006 Request to Enter Judgment

Filed by Attorney for Plaintiff/Petitioner

04/28/2006 Ex-Parte Application

Filed by Attorney for Plaintiff/Petitioner

04/07/2006 Notice

Filed by Attorney for Plaintiff/Petitioner

04/04/2006 Notice of Continuance (OF OSC FROM 4/14/06 TO 5/5/06 )

Filed by Clerk

03/14/2006 Notice (OF ORDER )

Filed by Attorney for Plaintiff/Petitioner

02/27/2006 Miscellaneous-Other (CERTIFICATE OF SERVICE )

Filed by Attorney for Plaintiff/Petitioner

02/27/2006 Declaration (OF LEONARD NORMAN COHEN )

Filed by Attorney for Plaintiff/Petitioner

02/27/2006 Miscellaneous-Other (SUMMARY OF THE CASE )

Filed by Attorney for Plaintiff/Petitioner

02/27/2006 Request to Enter Judgment

Filed by Attorney for Plaintiff/Petitioner

12/05/2005 Default Entered (KELLEY A. LYNCH )

Filed by Attorney for Pltf/Petr

11/30/2005 Request to Enter Default (DEFAULT REJECT FOR LYNCH- DATE OF MAILING CANNOT BE DATED BEFORE REQUEST TO ENTER DEFAULT. )

Filed by Attorney for Pltf/Petr

11/22/2005 Request to Enter Default (DEFAULT REJECT FOR LYNCH-#9. ITEM #3 NOT DATED. NEED DATE OF EXECUTION ON ITEM #6. )

Filed by Attorney for Pltf/Petr

11/22/2005 Summons Filed

Filed by Attorney for Pltf/Petr

11/14/2005 Ex-Parte Application

Filed by Attorney for Plaintiff/Petitioner

11/03/2005 Proof of Service

Filed by Attorney for Plaintiff/Petitioner

10/26/2005 Notice-Related Cases (POSSIBLE RELATED CASE IS BC338322 DEPT 62 THEY HAVE LOWEST CASE NUMBER )

Filed by Attorney for Plaintiff/Petitioner

10/13/2005 Writ-Other Issued (claim & delivery writ l.a.co. )

Filed by Clerk

10/12/2005 Appl for Writ of Possession (C&D) (Kelley A. Lynch )

Filed by Attorney for Pltf/Petr

10/12/2005 Supplemental Declaration (Leonard Norman Cohen )

Filed by Attorney for Pltf/Petr

10/12/2005 Supplemental Declaration (Scott A. Edelman )

Filed by Attorney for Pltf/Petr

10/12/2005 Points and Authorities (Writ of Possession )

Filed by Attorney for Pltf/Petr

10/12/2005 Miscellaneous-Other (Declaration for Ex Parte Writ of Attachment )

Filed by Attorney for Pltf/Petr

10/12/2005 Order for Writ of Possession

Filed by Attorney for Pltf/Petr

10/11/2005 Complaint

Proceedings Held (Proceeding dates listed in descending order)

05/09/2006 at 08:29 am in Department 64, Kenneth R. Freeman, Presiding

OSC RE Dismissal (FOR THE PLAINTIFF'S FAILURE TOPERFECT THE DEFAULT JUDGMENTC/F 5/5/06) - OSC Discharged

04/28/2006 at 08:30 am in Department 64, Kenneth R. Freeman, Presiding

Exparte proceeding - Submitted

02/27/2006 at 08:30 am in Department 64, Kenneth R. Freeman, Presiding

Conference-Case Management (C/F 11/29/05) - Completed

11/29/2005 at 08:30 am in Department 64, Kenneth R. Freeman, Presiding

Conference-Case Management (REL W/BC338322) - Matter continued

11/14/2005 at 08:30 am in Department 64, Kenneth R. Freeman, Presiding

Exparte proceeding - Denied

11/08/2005 at 08:30 am in Department 64, Kenneth R. Freeman, Presiding

Court Order - Court makes order



LOS ANGELES SUPERIOR COURT DOCKET

Case Number: BQ033717  
LEONARD NORMAN COHEN VS KELLEY ANN LYNCH  
Filing Date: 05/25/2011  
Case Type: Civil Petition - TRO/Dom Violence (General Jurisdiction)  
Status: Pending

Future Hearings

None

Parties

COHEN LEONARD NORMAN - Petitioner  
LYNCH KELLEY ANN - Respondent  
RICE MICHELLE L. - Attorney for Petitioner

Documents Filed (Filing dates listed in descending order)

04/12/2016 Notice-Change of Address  
10/16/2015 Notice-Designation-Record  
10/07/2015 Notice-Atty in re-Ntc of Appeal  
10/06/2015 Notice-Appeal  
09/09/2015 Declaration  
Filed by Petitioner  
09/02/2015 Notice  
08/27/2015 Objection  
08/25/2015 Miscellaneous  
Filed by Respondent  
08/17/2015 Opposition  
Filed by Petitioner  
08/17/2015 Request-Judicial Notice  
Filed by Petitioner  
07/28/2015 Motion  
Filed by Respondent  
04/04/2012 Request-Copies  
05/25/2011 Order-Reg. of Out-of-State DV  
Filed by Petitioner

Proceedings Held (Proceeding dates listed in descending order)

09/01/2015 at 08:45 AM in Department CE7, Silverman, B. Scott, Presiding  
Motion - Denied

LOS ANGELES SUPERIOR COURT DOCKET

Case Number: BS099650

LEONARD N COHEN VS KELLEY A LYNCH

Filing Date: 10/14/2005

Case Type: Civil Harassment (General Jurisdiction)

Status: Judgment by Court-Petition Granted 11/03/2005

**Future Hearings**

None

**Parties**

COHEN LEONARD N - Petitioner

EDELMAN SCOTT A - Attorney for Petitioner

LYNCH KELLEY A - Respondent & Respondent in Pro Per

**Documents Filed** (Filing dates listed in descending order)

11/03/2005 Stipulation to Court Commissioner

Filed by Clerk

11/03/2005 Order After Hearing-Civil TRO

Filed by Attorney for Plt/Petr

11/03/2005 Proof of Service

Filed by Attorney for Plt/Petr

10/14/2005 Order to Show Cause

Filed by Petitioner & Petitioner in Pro Per

10/14/2005 Complaint

**Proceedings Held** (Proceeding dates listed in descending order)

11/03/2005 at 08:30 am in Department 6, Harvey A. Silberman, Presiding

Hrng on Petn Prohibit Harassment (Tro In Effect) - TRO is granted

## Appendix B

A non-exhaustive list of federally copyrighted works that the RICO Defendants have infringed. Leonard Cohen personally executed irrevocable transfers and assignments of all intellectual property and other rights in that property to Blue Mist Touring Company, Inc. He was fully represented by a team of professional representatives at that time. Lynch has a 15% ownership interest in that entity. A schedule of the works generally assigned to this entity, including both musical and literary properties is attached hereto. Other rights, pursuant to the assignments themselves, were transferred and assigned to Blue Mist Touring Company, Inc.

## Intellectual Property

1967 (Songs of Leonard Cohen) – 2001 (Ten New Songs)  
 Studio Albums assigned to Blue Mist Touring Company,  
 Inc.

2004 (Dear Heather) should have been assigned to Old  
 Ideas, LLC

### Studio albums

Year	Album details
1967	<p><i>Songs of Leonard Cohen</i></p> <ul style="list-style-type: none"> <li>Released: December 27, 1967</li> <li>Label: Columbia Records</li> </ul>
1969	<p><i>Songs from a Room</i></p> <ul style="list-style-type: none"> <li>Released: March 24, 1969</li> <li>Label: Columbia Records</li> </ul>
1971	<p><i>Songs of Love and Hate</i></p> <ul style="list-style-type: none"> <li>Released: March 19, 1971</li> <li>Label: Columbia Records</li> </ul>
1974	<p><i>New Skin for the Old Ceremony</i></p> <ul style="list-style-type: none"> <li>Released: August 11, 1974</li> <li>Label: Columbia Records</li> </ul>
1977	<p><i>Death of a Ladies' Man</i></p> <ul style="list-style-type: none"> <li>Released: November 13, 1977</li> <li>Original Label: Warner/Spector</li> <li>Current Label: Columbia Records</li> </ul>
1979	<p><i>Recent Songs</i></p> <ul style="list-style-type: none"> <li>Released: September 27, 1979</li> <li>Label: Columbia Records</li> </ul>
1984	<p><i>Various Positions</i></p> <ul style="list-style-type: none"> <li>Released: December 11, 1984</li> </ul>

	<ul style="list-style-type: none"> <li>Label: Columbia Records</li> </ul>
	<i>I'm Your Man</i>
1988	<ul style="list-style-type: none"> <li>Released: February 2, 1988</li> <li>Label: Columbia Records</li> </ul>
	<i>The Future</i>
1992	<ul style="list-style-type: none"> <li>Released: November 24, 1992</li> <li>Label: Columbia Records</li> </ul>
	<i>Ten New Songs</i>
2001	<ul style="list-style-type: none"> <li>Released: October 9, 2001</li> <li>Label: Columbia Records</li> </ul>
	<i>Dear Heather</i>
2004	<ul style="list-style-type: none"> <li>Released: October 26, 2004</li> <li>Label: Columbia Records</li> </ul>
	<i>Old Ideas</i>
2012	<ul style="list-style-type: none"> <li>Released: January 31, 2012<sup>[22]</sup></li> <li>Label: Columbia Records</li> </ul>
	<i>Popular Problems</i>
2014	<ul style="list-style-type: none"> <li>Released: September 22, 2014</li> <li>Label: Columbia Records</li> </ul>

## Live albums

All lives albums (including the two that should have been assigned to Traditional Holdings, LLC – Field Commander Cohen & the next Live LP delivery) assigned to Blue Mist Touring Company, Inc.

Year	Album details
	<i>Live Songs</i>
1973	<ul style="list-style-type: none"> <li>Release: April, 1973</li> <li>Label: Columbia Records</li> </ul>
1994	<i>Cohen Live: Leonard Cohen in Concert</i>

	<ul style="list-style-type: none"> <li>• Release: June 28, 1994</li> <li>• Label: Columbia Records</li> </ul>
	<i>Field Commander Cohen: Tour of 1979</i>
2001	<ul style="list-style-type: none"> <li>• Release: February 20, 2001</li> <li>• Label: Columbia Records</li> </ul>
	<i>Live in London</i>
	<ul style="list-style-type: none"> <li>• Release: March 31, 2009</li> <li>• Label: Columbia Records</li> </ul>
2009	<i>Live at the Isle of Wight 1970</i>
	<ul style="list-style-type: none"> <li>• Release: October 19, 2009</li> <li>• Label: Columbia Records</li> </ul>
	<i>Songs from the Road</i>
2010	<ul style="list-style-type: none"> <li>• Release: September 14, 2010</li> <li>• Label: Columbia Records</li> </ul>
	<i>Live in Dublin</i>
2014	<ul style="list-style-type: none"> <li>• Release: December 2, 2014</li> <li>• Label: Columbia Records</li> </ul>
	<i>Can't Forget: A Souvenir of the Grand Tour</i>
2015	<ul style="list-style-type: none"> <li>• Release: May 12, 2015</li> <li>• Label: Sony Legacy</li> </ul>

## Compilation albums

Year	Album details
1975	<p><i>The Best of Leonard Cohen / Greatest Hits</i></p> <ul style="list-style-type: none"> <li>• Label: Columbia Records</li> <li>• Formats: CD, CS, LP, 8 TRACK</li> </ul>
1980	<p><i>Liebesträume – Leonard Cohen singt seine schönsten Lieder</i></p> <ul style="list-style-type: none"> <li>• Released in Germany only</li> <li>• Label: CBS</li> <li>• Formats: LP</li> </ul>
1989	<i>So Long, Marianne</i>

	<ul style="list-style-type: none"><li>• Label: Columbia Records</li><li>• Formats: CD</li></ul>
	<b><i>More Best of Leonard Cohen</i></b>
1997	<ul style="list-style-type: none"><li>• Released: October 7, 1997</li><li>• Label: Columbia Records</li><li>• Formats: CD, CS, LP</li></ul>
	<b><i>The Essential Leonard Cohen</i></b>
2002	<ul style="list-style-type: none"><li>• Released: October 22, 2002</li><li>• Label: Columbia Records</li><li>• Formats: CD, LP</li></ul>
	<b><i>The Collection</i></b>
2008	<ul style="list-style-type: none"><li>• Released: June 27, 2008</li><li>• Label: Columbia Records</li><li>• Formats: CD</li><li>• Box set</li></ul>
	<b><i>Greatest Hits</i></b>
2009	<ul style="list-style-type: none"><li>• Released: July 13, 2009</li><li>• Label: Columbia Records</li><li>• Formats: CD</li><li>• Remastered version with new tracklisting</li></ul>
	<b><i>The Complete Studio Albums Collection</i></b>
2011	<ul style="list-style-type: none"><li>• Released: October 10, 2011</li><li>• Label: Columbia Records</li><li>• Formats: CD</li><li>• Box set</li></ul>

## Literary Works Assigned to Blue Mist Touring Company, Inc.

### Poetry

- *Let Us Compare Mythologies*. 1956.
- *The Spice-Box of Earth*. 1961
- *Flowers for Hitler*. 1964
- *Parasites of Heaven*. 1966
- *Selected Poems 1956–1968*. 1968
- *The Energy of Slaves*. 1972
- *Death of a Lady's Man*. 1979.
- *Book of Mercy*. 1984
- *Stranger Music: Selected Poems and Songs*. 1993

### Novels

- *The Favorite Game*. 1963
- *Beautiful Losers*. 1966



## Appendix C

A non-exhaustive list of federally copyrighted works that the RICO Defendants have infringed. Leonard Cohen, as his representative understood, agreed to assign all intellectual property, and other rights, to "Dear Heather," to Old Ideas, LLC. Lynch has a 15% ownership interest in that entity. Richard Westin's emails to Lynch confirm that he established this entity as a partnership due to the fact that he understood she had a 15% interest in the properties being assigned. The "Dear Heather" liner notes and available Copyright Office information related to Old Ideas, LLC are attached hereto.

~~Exhibit DDD~~

Westin emails re. Old Ideas, LLC; Dear Heather liner notes –  
publishing Old Ideas, LLC

Subj: **Old Idea, LLC**  
Date: 7/1/2004 6:09:50 AM Pacific Daylight Time  
From: rwest0@uky.edu  
To: tsimar@aol.com  
CC: SFried@GISPC.COM

The entity is a partnership for federal income tax purposes.

*and state ?*

Page 1 of 1

Subj: **Re: (no subject)**  
Date: 7/1/2004 12:52:27 PM Pacific Daylight Time  
From: rwest0@uky.edu  
To: Tsimar@aol.com

OK, I will be around today and this evening. Want to call me? When? I have to get away from here for a while.

— Original Message —

From: Tsimar@aol.com  
To: rwest0@uky.edu  
Sent: Thursday, July 01, 2004 1:57 PM  
Subject: Re: (no subject)

In a message dated 7/1/2004 10:56:20 AM Pacific Daylight Time, rwest0@uky.edu writes:

I WAS ASSUMING YOU WANTED TO BE A 15% MEMBER TO GET THE COMMISSION.

Subj: **Re: (no subject)**  
Date: 7/1/2004 12:28:05 PM Pacific Daylight Time  
From: rwest0@uky.edu  
To: Tsimar@aol.com

Stupid and old here.

Please correct this understanding, if it is wrong:

The existing SOCAN agreement says Leonard is the owner of the rights to back catalog writer's share and they actually pay him now?

It is very unlikely the IRS will look into the details of the SOCAN contract.

Kelley, please educate me if I am wrong.

What is the significance of the question? Is the problem that the payee of these rights is in fact Leonard?

In addition to this deal, I am working on others, including a horrible one involving the tax-free separation of Japanese auto franchises in Florida. Please forgive me. My memory is poor and Leonard's deals are elaborate.

RW

— Original Message —

From: Tsimar@aol.com  
To: rwest0@pop.uky.edu  
Sent: Thursday, July 01, 2004 1:47 PM  
Subject: (no subject)

SOCAN agreement says LC is still the member and not the company. And it says that if he ceases to be the 100% owner they will only pay the writer. This is a further SOCAN comment. So will the IRS ever look at this and take it apart? And if so what are the ramifications of that.

Subj: **Old Ideas, LLC**  
Date: 7/1/2004 6:10:23 AM Pacific Daylight Time  
From: rwest0@uky.edu  
To: tsimar@aol.com  
CC: SFried@GISPC.COM

Hello,

Today I caused CT Corporation Systems to form Old Ideas, LLC, a Delaware LLC. It is simply on ice for the moment pending instructions as to the DBA issue.

Also, Stuart, I wish to receive from you instructions as to the assignment language.

RW

Subj: **Re: Old Ideas, LLC**  
Date: 9/17/2004 2:42:39 PM Pacific Standard Time  
From: [rwest0@uky.edu](mailto:rwest0@uky.edu)  
To: [Tsimar@aol.com](mailto:Tsimar@aol.com)  
*Sent from the Internet (Details)*

Hi,

What a life! It is a Delaware LLC. My thought was to have it kept out of California from a "doing business" point of view and sequester it in a mail drop service's lap in Reno. I will get CT to get the certificate of formation reissued to you.

I am cracking up. I have a client that is driving me to drink. It is the one I thought would get me a position in Switzerland.

Cheers

RW

— Original Message —

From: [Tsimar@aol.com](mailto:Tsimar@aol.com)  
To: [rwest0@uky.edu](mailto:rwest0@uky.edu)  
Sent: Friday, September 17, 2004 5:23 PM  
Subject: Re: Old Ideas, LLC

In a message dated 9/17/2004 2:17:34 PM Pacific Standard Time, [rwest0@uky.edu](mailto:rwest0@uky.edu) writes:

Nope. I think I was asking if you would like to move it to Nevada to avoid California taxes and you said Leonard did not care for Nevada.

I'll send you the email when I get a moment.  
Isn't it a Delaware corporation? I never received the paperwork you asked that person in your office to send me. You emailed her when you were in Maine and said "in case I die" but she never sent the Certificate of Formation - so therefore I need that and I have no idea where this entity was formed.

Subj: **Re: Old Ideas**  
Date: 6/30/2004 3:39:07 PM Pacific Daylight Time  
From: rwest0@uky.edu  
To: Tsimar@aol.com

Sorry, wrong button.  
Here is the certificate

**CERTIFICATE OF FORMATION**

OF

OLD IDEAS, LLC

1. The name of the limited liability company is \_\_Old Ideas, LLC

2. The address of its registered office in the State of Delaware is Corporation Trust Center, 1209 Orange Street, in the City of Wilmington, County of New Castle. The name of its registered agent at such address is The Corporation Trust Company.

IN WITNESS WHEREOF, the undersigned have executed this Certificate of Formation of Old Ideas, LLC this 30<sup>th</sup> day of June, 2004.

---

Carol Record, Organizer

DE083 - 5/5/00 C T System Online

— Original Message —

From: Tsimar@aol.com  
To: SFried@GISPC.COM  
Cc: rwest0@pop.uky.edu  
Sent: Wednesday, June 30, 2004 4:17 PM  
Subject: Old Ideas

FYI



We had asked Jonas to reserve this name in the past (quite a while ago) and he left me a message that the name was reserved.

It is reserved as Leonard Cohen's Old Ideas LLC

We'd have to do a d/b/a with the LLC -- because Leonard wants the LLC to be "Old Ideas" -- Richard said he had no problems with doing a d/b/a for the LLC.

The paperwork and registration has not been done but I have it somewhere if you want me to find it.

I have Old Ideas LLC (BMI) in the CD booklet right now with respect to publishing. If we're handing in the album any time soon we'll need to resolve this matter -- what gets assigned into it (just publishing? also writer's share? writer's share of performance income?) and I think Richard you really need to do a name check in Delaware now.

Kelley

Subj: **Re: Old Ideas LLC**  
Date: 7/1/2004 12:22:27 PM Pacific Daylight Time  
From: rwest0@uky.edu  
To: Carol\_Record@cch-lis.com  
CC: tsimar@aol.com

Hi Carol,

Please copy Kelley on e-mails in the event I die soon or am abducted.

RW

— Original Message —

From: <Carol\_Record@cch-lis.com>  
To: "Richard A. Westin" <rwest0@uky.edu>  
Sent: Thursday, July 01, 2004 1:41 PM  
Subject: Re: Old Ideas LLC

>

>

>

>

> Richard, the LLC formation was completed on 6/30/04, corporate #3823329.

|

> have sent a copy of the evidence to Ms. Lynch and the original is coming to

> you via regular mail. I have also requested the corporate kit and it will be sent today. Carol

>

>

>

>

> "Richard A. Westin" <rwest0@uky.edu> on 06/30/2004 08:42:52 PM

>

> To: <Carol\_Record@cch-lis.com>

> cc:

>

> Subject: Re: Old Ideas LLC

>

>

> Hi Carol,

>

> Please send a copy of the original certificate to

>

> Ms.Kelley Lynch

> c/o Stranger Management

> 419 North Larchmont, Suite 91

> Los Angeles, CA 90004

>

> She is Mr. Cohen's manager and assists him in all such matters.

>

Sunday, March 20, 2005 America Online: Tsimar

KL03826

> Thanks  
>  
> Richard Westin  
> — Original Message —  
> From: <Carol\_Record@cch-lis.com>  
> To: <rwest0@uky.edu>  
> Sent: Wednesday, June 30, 2004 2:23 PM  
> Subject: Old Ideas LLC  
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> >  
> > (See attached file: old ideas DE083.RTF)  
> >  
>  
>  
>  
>

Subj: **Re: (no subject)**  
Date: 7/1/2004 10:56:20 AM Pacific Daylight Time  
From: [rwest0@uky.edu](mailto:rwest0@uky.edu)  
To: [Tsimar@aol.com](mailto:Tsimar@aol.com)

REPLIES ARE IN THE TEXT

— Original Message —

From: [Tsimar@aol.com](mailto:Tsimar@aol.com)  
To: [rwest0@pop.uky.edu](mailto:rwest0@pop.uky.edu)  
Sent: Thursday, July 01, 2004 1:09 PM  
Subject: (no subject)

We tried to conference you in but you must not be around. I WAS. DUNNO WHAT HAPPENED

I'll write you later on the issues.OK

Did you have to set this up as a partnership? IT IS A PARTNERSHIP BY DEFAULT FOR INCOME TAX PURPOSES

Can it change if need be? YES. IT IS EASY To for instance LC as sole member manager? YES, EASY. I don't know if that needs to be done, I am simply asking.

I WAS ASSUMING YOU ~~WANTED~~ TO BE A 15% MEMBER TO GET THE COMMISSION.

RW

*one*

# DEAR HEATHER

LEONARD COHEN  
DEAR HEATHER



(2004)

## The Songs:

1. Go No More A-Roving (3:40)
2. Because Of (3:00)
3. The Letters (4:44)
4. Undertow (4:20)
5. Morning Glory (3:28)
6. On That Day (2:04)
7. Villanelle For Our 'Time (5:55)
8. There For You (4:36)
9. Dear Heather (3:41)
10. Nightingale (2:27)
11. 'To A Teacher (2:32)
12. The Faith (4:17)
13. Tennessee Waltz (live track, 4:05)

Total timing:

Click on the song title to see the lyrics, or just scroll down.  
Lyrics posted here by permission of Leonard Cohen.



## 1. Go No More A-Roving

*Dedicated to Irving Layton.  
Words by Lord Byron (1788-1824)  
Music by Leonard Cohen*

So we'll go no more a-roving  
So late into the night,  
Though the heart be still as loving,  
And the moon be still as bright.  
For the sword outwears its sheath,  
And the soul outwears the breast,  
And the heart must pause to breathe,

And love itself have rest.  
Though the night was made for loving,  
And the day returns too soon,  
Yet we'll go no more a-roving  
By the light of the moon.

*Produced, arranged and  
performed by Sharon Robinson  
Vocals: Leonard Cohen and Sharon Robinson  
Tenor sax: Bob Sheppard*

© 2004 Old Ideas LLC (BMI)

---

## 2. Because Of

*Words and music by Leonard Cohen*

Because of a few songs  
Wherein I spoke of their mystery,  
Women have been  
Exceptionally kind  
to my old age.  
They make a secret place  
In their busy lives  
And they take me there.  
They become naked  
In their different ways  
and they say,  
"Look at me, Leonard  
Look at me one last time."  
Then they bend over the bed  
And cover me up  
Like a baby that is shivering.

*Produced by Leanne Ungar  
Arranged and performed by Leonard Cohen  
Background vocals arranged and  
sung by Anjani Thomas*

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### 3. The Letters

*Words and music by Leonard Cohen  
and Sharon Robinson*

You never liked to get  
The letters that I sent.  
But now you've got the gist  
Of what my letters meant.  
You're reading them again,  
The ones you didn't burn.  
You press them to your lips,  
My pages of concern.  
I said there'd been a flood.  
I said there's nothing left.  
I hoped that you would come.  
I gave you my address.  
Your story was so long,  
The plot was so intense,  
It took you years to cross  
The lines of self-defense.  
The wounded forms appear:  
The loss, the full extent;  
And simple kindness here,  
The solitude of strength.  
You walk into my room.  
You stand there at my desk,  
Begin your letter to  
The one who's coming next.

*Produced, arranged and  
performed by Sharon Robinson  
Vocals: Leonard Cohen and Sharon Robinson*

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Sharon Robinson Songs (ASCAP)

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### 4. Undertow

*Words and music by Leonard Cohen*



I set out one night  
When the tide was low  
There were signs in the sky  
But I did not know  
I'd be caught in the grip  
Of the undertow  
Ditched on a beach  
Where the sea hates to go  
With a child in my arms  
And a chill in my soul  
And my heart the shape  
Of a begging bowl

*Produced by Leanne Ungar*  
*Arranged and performed by Leonard Cohen*  
*Solo and background vocals:*  
*Anjani Thomas and Leonard Cohen*

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## 5. Morning Glory

*Words and music by Leonard Cohen*

No words this time? No words. No, there are times when nothing can be done. Not this time. Is it censorship? Is it censorship? No, it's evaporation. No, it's evaporation. Is this leading somewhere? Yes. We're going down the lane. Is this going somewhere? Into the garden. Into the backyard. We're walking down the driveway. Are we moving towards.... We're in the backyard. ...some transcendental moment? It's almost light. That's right. That's it. Are we moving towards some transcendental moment? That's right. That's it. Do you think you'll be able to pull it off? Yes. Do you think you can pull it off? Yes, it might happen. I'm all ears. I'm all ears. Oh the morning glory!

*Produced by Leanne Ungar*  
*Arranged and performed by Leonard Cohen*  
*Background vocals arranged and*  
*sung by Anjani Thomas*

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## 6. On That Day

*Words and music by Leonard Cohen  
and Anjani Thomas*

Some people say  
It's what we deserve  
For sins against g-d  
For crimes in the world  
I wouldn't know  
I'm just holding the fort  
Since that day  
They wounded New York  
Some people say  
They hate us of old  
Our women unveiled  
Our slaves and our gold  
I wouldn't know  
I'm just holding the fort  
But answer me this  
I won't take you to court  
Did you go crazy  
Or did you report  
On that day  
On that day  
They wounded New York

*Produced by Anjani Thomas  
Vocals and Jew's harp: Leonard Cohen  
Background vocals arranged and  
sung by Anjani Thomas  
Piano: Anjani Thomas  
Bass: Stan Sargent*

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